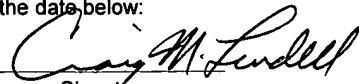




July 15, 2004

FILE: 13002.0071.NPUS01

<b>CERTIFICATE OF MAILING</b> 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:	
7-15-04 Date	 Signature

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Shelby F. Thames, et al.

Serial No.: 10/800,410

Filed: March 12, 2004

For: FUNCTIONALIZED VEGETABLE  
OIL DERIVATIVES, LATEX  
COMPOSITIONS AND COATINGS

Group Art Unit: 1755

Examiner: Unknown

Atty. Dkt. No.: 13002.0071.NPUS01  
USMA:071/LUD

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Alexandria VA. 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application dated June 1, 2004, enclosed herewith are the following documents:

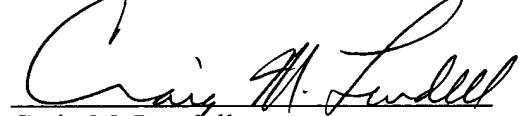
- (1) Declarations of Shelby F. Thames, Oliver W. Smith, James M. Evans, Sandipan Dutta, and Lianzhou Chen;
- (2) Assignment Cover Sheet;

- (3) Assignment to University of Southern Mississippi;
- (4) Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney; and
- (5) a copy of the Notice to File Missing Parts of Application.

Applicants and their assignee qualify as a small entity. Please charge the Deposit Account of Howrey Simon Arnold & White 01-2508/13002.0071.NPUS01 for the basic filing fee (\$385.00), late oath surcharge (\$65.00), additional claims fees (\$43.00) and assignment recording fee (\$40.00). Should any additional fees be required for any reason relating to the enclosed materials, the Assistant Commissioner is authorized to deduct or credit said fees from or to Howrey Simon Arnold & White Deposit Account No. 01-2508/13002. 0071.NPUS01/LUD.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Craig M. Lundell  
Reg. No. 30,284  
HOWREY SIMON ARNOLD & WHITE, LLP  
750 Bering Drive  
Houston, TX 77057  
(713) 787-1415

Date: July 15, 2004

JFAC



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/800,410	03/12/2004	Shelby F. Thames	13002.0071.NPUS00

HOWREY SIMON ARNOLD & WHITE  
 750 Bering Drive  
 Houston, TX 77057-2198

CONFIRMATION NO. 7041  
**FORMALITIES LETTER**

  
 \*OC000000012820499\*

Date Mailed: 06/01/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/20/2004 KBETEMA1 00000026 012508 10800410 FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 DA  
 02 FC:2201 43.00 DA  
 03 FC:2051 65.00 DA

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$86** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$986** for a Large Entity

REC'D HOWREY SIMON ARNOLD &amp; WHITE

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$86**

JUN 07 2004

HOUSTON DOCKETING DEPT.

- \$86 for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202  
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